



## ANNUAL COMPLIANCE AUDIT STATUS

AS PRESCRIBED BY SECURITIES AND EXCHANGE BOARD OF INDIA (SEBI), AND  
BSE ADMINISTRATION AND SUPERVISION LIMITED (BASL)

### FinIntent Investment Adviser

Published 30-September -2024

Disclosure with respect to compliance with Annual compliance audit requirement under Regulation 19(3) of Securities and Exchange Board of India (Investment Advisers) Regulations, 2013 for last and current financial year are as under:

Sr. No.	Financial Year	Compliance Audit Status	Remarks, if any
1	FY 2022-23	Conducted	Audit Report dt. 1 <sup>st</sup> September 2023 submitted on 1 <sup>st</sup> September 2023 to SEBI & BASL
2	FY 2023-24	Conducted	Audit Report dt. 23 <sup>rd</sup> September 2024 submitted on 23 <sup>rd</sup> September 2024 to SEBI, BSE & BASL

#### References:

1. Regulation 19(3) of the SEBI (Investment Advisers) Regulation, 2013
2. SEBI circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020
3. SEBI Master Circular No. SEBI/HO/MIRSD-PoD-2/P/CIR/2023/89 dated June 15, 2023
4. BASL Circular No. 20230504-1 dated May 4, 2023
5. BASL Circular No. 20240603-2 dated June 3, 2024